



COPY OF PAPERS
ORIGINALLY FILED

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/082,778	02/25/2002	2874	1152	78114 (10-371 US)	5	31	4

CONFIRMATION NO. 4429

27975

ALLEN, DYER, DOPPELT, MILBRATH & GILCHRIST P.A.
1401 CITRUS CENTER 255 SOUTH ORANGE AVENUE
P.O. BOX 3791
ORLANDO, FL 32802-3791

UPDATED FILING RECEIPT



OC000000008601738

Date Mailed: 08/09/2002

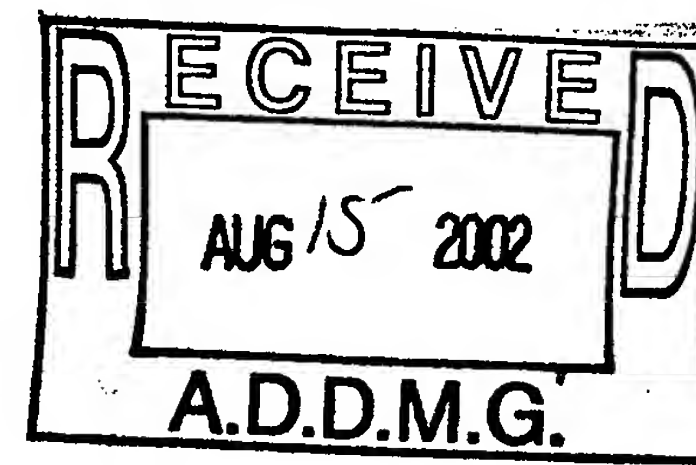
Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Kok-Wai Chang, Los Altos, CA;
Kuochou Tai, Fremont, CA;
Jyehong Chen, Mt. Holly, NJ;
Qingdong ~~Guo~~, Sunnyvale, CA;
Guo

Assignment For Published Patent Application

JDS Uniphase Corporation, San Jose, CA;



Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/270,905 02/26/2001

Foreign Applications

If Required, Foreign Filing License Granted 08/08/2002

Projected Publication Date: 11/14/2002

Non-Publication Request: No

Early Publication Request: No

Title

Optical circulator

Preliminary Class

385

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

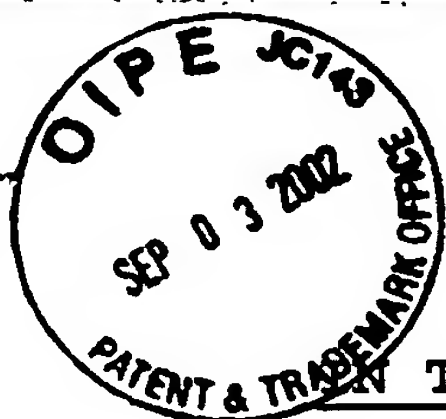
The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



COPY OF PAPERS
ORIGINALLY FILED

Receipt

2FC1

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
CHANG ET AL.

Serial No. 10/082,778

Confirmation No. 4429

Filing Date: FEBRUARY 25, 2002

For: OPTICAL CIRCULATOR

) ATTY DOCKET NO. 78114

)

) Art Unit: 2874

)

)

)

)

)

)

)

)

FILE COPY #6
D. Scott
10-18-02

REQUEST FOR CORRECTION TO THE FILING RECEIPT

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

CFCL

Sir:

The filing receipt for the subject patent application has been received and reviewed for accuracy. A discrepancy has been discovered. The filing receipt fourth inventor's last name is spelled incorrectly. The complete listing of the fourth inventor's name is as follows:

Qingdong Guo

In view of the error noted, it is respectfully requested that a corrected filing receipt be issued in this application.

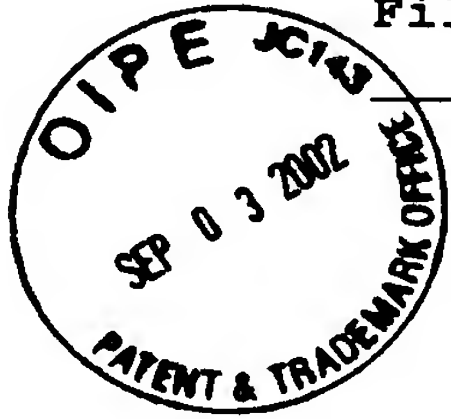
Since this error is believed to be the error of the Patent Office, such change should be made at no expense to the applicants. However, if any fee is believed to be necessary, authorization is given to charge Deposit Account No. 01-0484.

COPIES OF PAPERS
ORIGINALLY FILED

In re Patent Application of
CHANG ET AL.

Serial No. 10/082,778

Filed: FEBRUARY 25, 2002



Respectfully submitted,

Charles E. Wands
Reg. No. 25,649



27975

PATENT TRADEMARK OFFICE

Telephone : (321) 725-4760

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231, on this 27th day of August, 2002.